

Exact RG flow and UV/IR duality in quantum graphs

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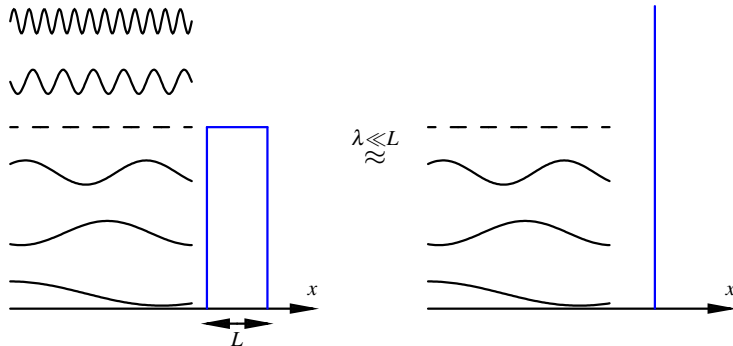
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Introduction ①

- Slowly moving particle cannot resolve the structure of a short-ranged scatterer (e.g. an impurity or a defect).
- (Much) below a physical cutoff scale L , any short-ranged interaction can be approximated by a point interaction.



Introduction ②: trivial example

$$H = -\frac{d^2}{dx^2} + V(x), \quad V(x) = \begin{cases} \frac{2g}{L}, & -\frac{L}{2} < x < \frac{L}{2} \\ 0, & \text{otherwise} \end{cases}$$

- (Much) below the cutoff scale L , reflection and transmission coefficients for a particle of momentum k are given by

$$R(k;g) = \frac{g}{ik-g}, \quad T(k;g) = \frac{ik}{ik-g}.$$

- On length scale $\gg L$, we require that the physics (i.e. function forms of R and T) should not change as we change the momentum scale $k \mapsto ke^t$. This requirement is expressed as

$$R(ke^t;g) = R(k;\bar{g}(t)) \quad \text{and} \quad T(ke^t;g) = T(k;\bar{g}(t)),$$

from which we find the running coupling constant

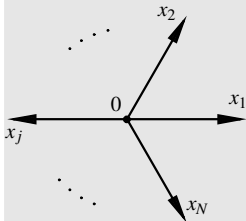
$$\bar{g}(t) = ge^{-t}.$$

- spinless one-particle quantum mechanics on star graph
- absence of long-ranged interaction (such as Coulomb force)
- long-wavelength limit

\Rightarrow theory space = parameter space of $U(N)$

Quantum particle on a star graph

One particle quantum mechanics on star graph with N bonds



- j th bond = j th half-line ($0 < x_j < \infty$)
- vertex = junction point $x_j = 0, \forall j$
- wave function

$$\psi(x) = \begin{cases} \psi_1(x_1), & x \in \text{1st bond}, \\ \vdots \\ \psi_N(x_N), & x \in N\text{th bond}. \end{cases}$$

Schrödinger equation (in the units $\hbar = 2m = 1$)

$$H\psi(x) = E\psi(x), \quad H = -\frac{d^2}{dx^2}, \quad x \neq 0$$

Kirchhoff's law for boundary conditions ①

Requirement: probability conservation at the vertex

$$\sum_{j=1}^N j_j(0) = 0, \quad j_j(x_j) := -i [\psi_j'^*(x_j) \psi_j(x_j) - \psi_j^*(x_j) \psi_j'(x_j)]$$

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$$\Leftrightarrow \begin{pmatrix} \psi_1(0) \\ \vdots \\ \psi_N(0) \end{pmatrix}^\dagger \cdot \begin{pmatrix} \psi_1'(0) \\ \vdots \\ \psi_N'(0) \end{pmatrix} = \begin{pmatrix} \psi_1'(0) \\ \vdots \\ \psi_N'(0) \end{pmatrix}^\dagger \cdot \begin{pmatrix} \psi_1(0) \\ \vdots \\ \psi_N(0) \end{pmatrix}$$

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$$\Leftrightarrow \left| \begin{pmatrix} \psi_1(0) \\ \vdots \\ \psi_N(0) \end{pmatrix} - iL_0 \begin{pmatrix} \psi_1'(0) \\ \vdots \\ \psi_N'(0) \end{pmatrix} \right|^2 = \left| \begin{pmatrix} \psi_1(0) \\ \vdots \\ \psi_N(0) \end{pmatrix} + iL_0 \begin{pmatrix} \psi_1'(0) \\ \vdots \\ \psi_N'(0) \end{pmatrix} \right|^2$$

(L_0 : arbitrary length scale (a.k.a scale anomaly))

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$$\Leftrightarrow \begin{pmatrix} \psi_1(0) \\ \vdots \\ \psi_N(0) \end{pmatrix} - iL_0 \begin{pmatrix} \psi_1'(0) \\ \vdots \\ \psi_N'(0) \end{pmatrix} = U \left[\begin{pmatrix} \psi_1(0) \\ \vdots \\ \psi_N(0) \end{pmatrix} + iL_0 \begin{pmatrix} \psi_1'(0) \\ \vdots \\ \psi_N'(0) \end{pmatrix} \right]$$

($U \in U(N)$)

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Kirchhoff's law for boundary conditions ②

- $U(N)$ family of boundary conditions

$$(\mathbb{1} - U) \begin{pmatrix} \psi_1(0) \\ \vdots \\ \psi_N(0) \end{pmatrix} - iL_0(\mathbb{1} + U) \begin{pmatrix} \psi'_1(0) \\ \vdots \\ \psi'_N(0) \end{pmatrix} = \vec{0}, \quad U \in U(N).$$

- spectral decomposition of U

$$U = \sum_{j=1}^N e^{i\alpha_j} P_j, \quad P_j : \text{projection operator}$$

- $U(N)$ family of boundary conditions boils down to the N independent conditions

$$P_j \left[\begin{pmatrix} \psi_1(0) \\ \vdots \\ \psi_N(0) \end{pmatrix} + L_j \begin{pmatrix} \psi'_1(0) \\ \vdots \\ \psi'_N(0) \end{pmatrix} \right] = \vec{0}, \quad L_j := L_0 \cot \frac{\alpha_j}{2}$$

Example: $N = 2$ (junction of two half-lines = line)

$$\frac{\mathbf{1} + \vec{e}_j \cdot \vec{\sigma}}{2} \left[\begin{pmatrix} \psi_1(0) \\ \psi_2(0) \end{pmatrix} + L_j \begin{pmatrix} \psi'_1(0) \\ \psi'_2(0) \end{pmatrix} \right] = \vec{0}, \quad \vec{e}_2 = -\vec{e}_1 : \text{unit vector}$$

Case $\vec{e}_1 = (0, 0, 1)$: separated subfamily

$$\psi_1(0) + L_1 \psi'_1(0) = 0,$$

$$\psi_2(0) + L_2 \psi'_2(0) = 0.$$

- $L_{1,2} = 0$ ($\alpha_{1,2} = \pi$): Dirichlet BC
- $L_{1,2} = \infty$ ($\alpha_{1,2} = 0$): Neumann BC

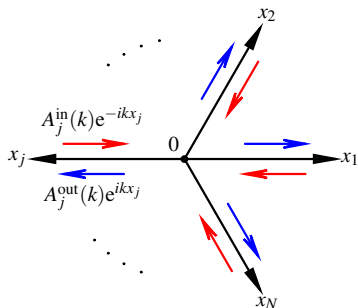
Case $\vec{e}_1 = (1, 0, 0)$: parity invariant subfamily

$$\psi_1(0) + \psi_2(0) + L_1(\psi'_1(0) + \psi'_2(0)) = 0,$$

$$\psi_1(0) - \psi_2(0) + L_2(\psi'_1(0) - \psi'_2(0)) = 0.$$

- $L_2 = 0$ ($\alpha_2 = \pi$): δ -function potential $V(x) = \frac{1}{L_1} \delta(x)$

S-matrix on star graph



- positive energy solution

$$\psi_j(x_j; k) = A_j^{\text{in}}(k)e^{-ikx_j} + A_j^{\text{out}}(k)e^{ikx_j}$$

- evolution map between
“in-state” and “out-state”:

$$\begin{pmatrix} A_1^{\text{out}} \\ \vdots \\ A_N^{\text{out}} \end{pmatrix} = S(kL_0) \begin{pmatrix} A_1^{\text{in}} \\ \vdots \\ A_N^{\text{in}} \end{pmatrix},$$

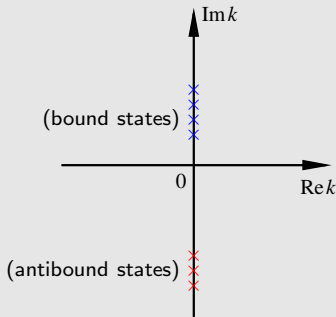
where the S-matrix $S(kL_0)$ is given by

$$S(kL_0) = \begin{pmatrix} R_1(kL_0) & T_{12}(kL_0) & \cdots & T_{1N}(kL_0) \\ T_{21}(kL_0) & R_2(kL_0) & \cdots & T_{2N}(kL_0) \\ \vdots & \vdots & \ddots & \vdots \\ T_{N1}(kL_0) & T_{N2}(kL_0) & \cdots & R_N(kL_0) \end{pmatrix} = \sum_{j=1}^N \frac{ikL_j - 1}{ikL_j + 1} P_j.$$

Bound states and antibound (or virtual) states

- bound (antibound) state = simple pole of $S(kL_0)$ lying on the **positive (negative)** imaginary k -axis
- bound/antibound state energy at a pole $k = i/L_l$:

$$E_{B_l} = \left(\frac{i}{L_l}\right)^2 = -\frac{1}{L_l^2}$$

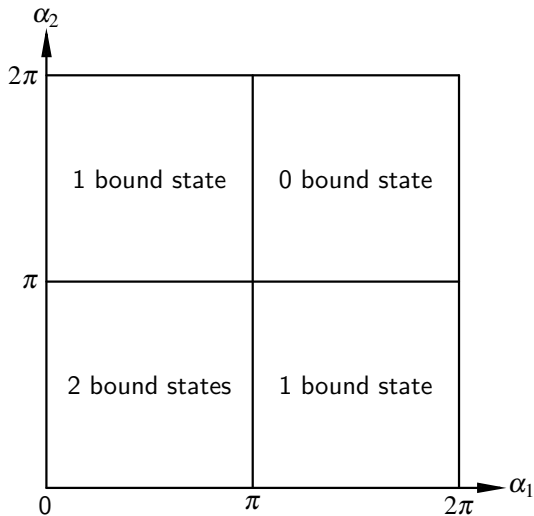


- bound/antibound state wave function at a pole $k = i/L_l$:

$$\psi_{B_l,j}(x_j) \propto \exp\left(-\frac{x_j}{L_l}\right) \quad \left(L_l = L_0 \cot \frac{\alpha_l}{2}, \quad L_0 > 0\right)$$

$$\Rightarrow \begin{cases} \text{normalizable bound state} & \text{for } 0 < \alpha_l < \pi \\ \text{non-normalizable antibound state} & \text{for } \pi < \alpha_l < 2\pi \end{cases}$$

Phase diagram for $N = 2$



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Exact RG flow of point interaction ①

Renormalization group transformation

Since L_0 is an arbitrary parameter, any physical quantities must be independent of the choice of L_0 . The lack of dependence of L_0 can be expressed as the invariance of the theory under the RG transformation

$$R_t : L_0 \mapsto \bar{L}(t) := L_0 e^{-t}, \quad -\infty < t < \infty.$$

Renormalization group equation

Any change of L_0 must be equivalent to changes in the $U(N)$ parameters. This is expressed as the RG equation

$$S(kL_0; \alpha_j, P_j) = S(k\bar{L}(t); \bar{\alpha}_j(t), \bar{P}_j(t)),$$

or, equivalently,

$$S((ke^t)L_0; \alpha_j, P_j) = S(kL_0; \bar{\alpha}_j(t), \bar{P}_j(t)).$$

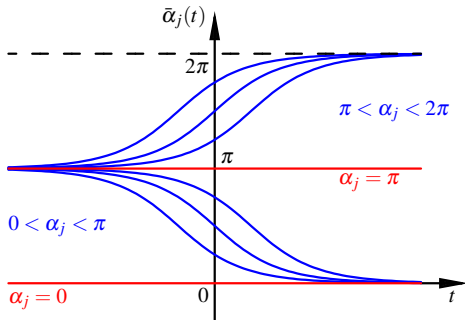
Exact RG flow of point interaction ②

Since k appears only in the combination $kL_j = kL_0 \cot \frac{\alpha_j}{2}$, the rescaling of k must be adjusted by the running of α_j :

$$kL_0 \cot \frac{\alpha_j}{2} \xrightarrow{k \rightarrow ke^t} kL_0 \left(e^t \cot \frac{\alpha_j}{2} \right) = kL_0 \left(\cot \frac{\bar{\alpha}_j(t)}{2} \right),$$

from which we find

$$\bar{\alpha}_j(t) = 2 \arctan \left(e^{-t} \tan \frac{\alpha_j}{2} \right) \quad \text{and} \quad \bar{P}_j(t) = P_j.$$



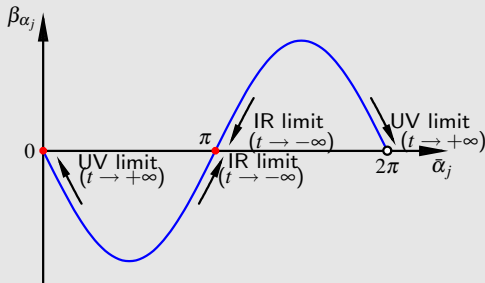
Exact RG flow of point interaction ③

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exact β -function

$$\beta_{\alpha_j}(\bar{\alpha}_j(t)) := \left. \frac{\partial \bar{\alpha}_j(t)}{\partial t} \right|_{\alpha_j, L_0} = -\sin \bar{\alpha}_j(t)$$



- $\alpha_j^* = 0$: UV fixed point; $\alpha_j^* = \pi$: IR fixed point
- 2^N fixed points on $T^N = \{(\alpha_1, \dots, \alpha_N) \mid 0 \leq \alpha_j < 2\pi\}$

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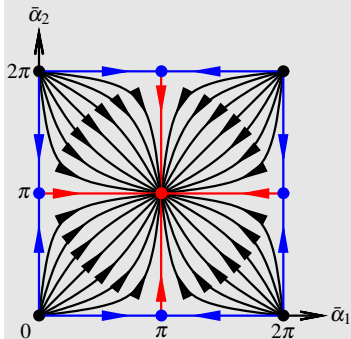
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Example: $N = 2$



- UV (Neumann) fixed point •

$$U = S = \mathbb{1}$$

$$\psi'_1(0) = 0 = \psi'_2(0)$$

- IR (Dirichlet) fixed point •

$$U = S = -\mathbb{1}$$

$$\psi_1(0) = 0 = \psi_2(0)$$

- Fülöp-Tsutsui fixed point •

$$U = S = \begin{pmatrix} \cos \varphi & e^{-i\theta} \sin \varphi \\ e^{i\theta} \cos \varphi & -\cos \varphi \end{pmatrix}$$

$$\psi_2(0) = e^{i\theta} \tan \frac{\varphi}{2} \psi_1(0), \quad \psi'_2(0) = -e^{i\theta} \cot \frac{\varphi}{2} \psi'_1(0)$$

- elementary identity

$$\frac{1}{\cot \frac{\alpha_j}{2}} = \tan \frac{\alpha_j}{2} = -\cot \left(\frac{\alpha_j}{2} \pm \frac{\pi}{2} \right)$$

- identity of S-matrix eigenvalue

$$\frac{ikL_0 \cot \frac{\alpha_j}{2} - 1}{ikL_0 \cot \frac{\alpha_j}{2} + 1} = -\frac{i(kL_0)^{-1} \cot(\frac{\alpha_j}{2} \pm \frac{\pi}{2}) - 1}{i(kL_0)^{-1} \cot(\frac{\alpha_j}{2} \pm \frac{\pi}{2}) + 1}$$

- identity of S-matrix

$$S(kL_0; \alpha_j, P_j) = -S((kL_0)^{-1}; \alpha_j \pm \pi, P_j)$$

which implies that

	$\{\alpha_j, P_j\}_{j=1}^N$		$\{\alpha_j \pm \pi, P_j\}_{j=1}^N$
$E > 0$	UV regime	$\stackrel{\text{dual}}{\Leftrightarrow}$	IR regime
	IR regime		UV regime
$E < 0$	bound states	$\stackrel{\text{dual}}{\Leftrightarrow}$	antibound states
	antibound states		bound states

- Exact RG flow of $U(N)$ family of point interactions
- Duality between the systems $\{\alpha_j, P_j\}$ and $\{\alpha_j \pm \pi, P_j\}$
 - scattering states: high energy \Leftrightarrow low energy
 - bound state \Leftrightarrow antibound state